

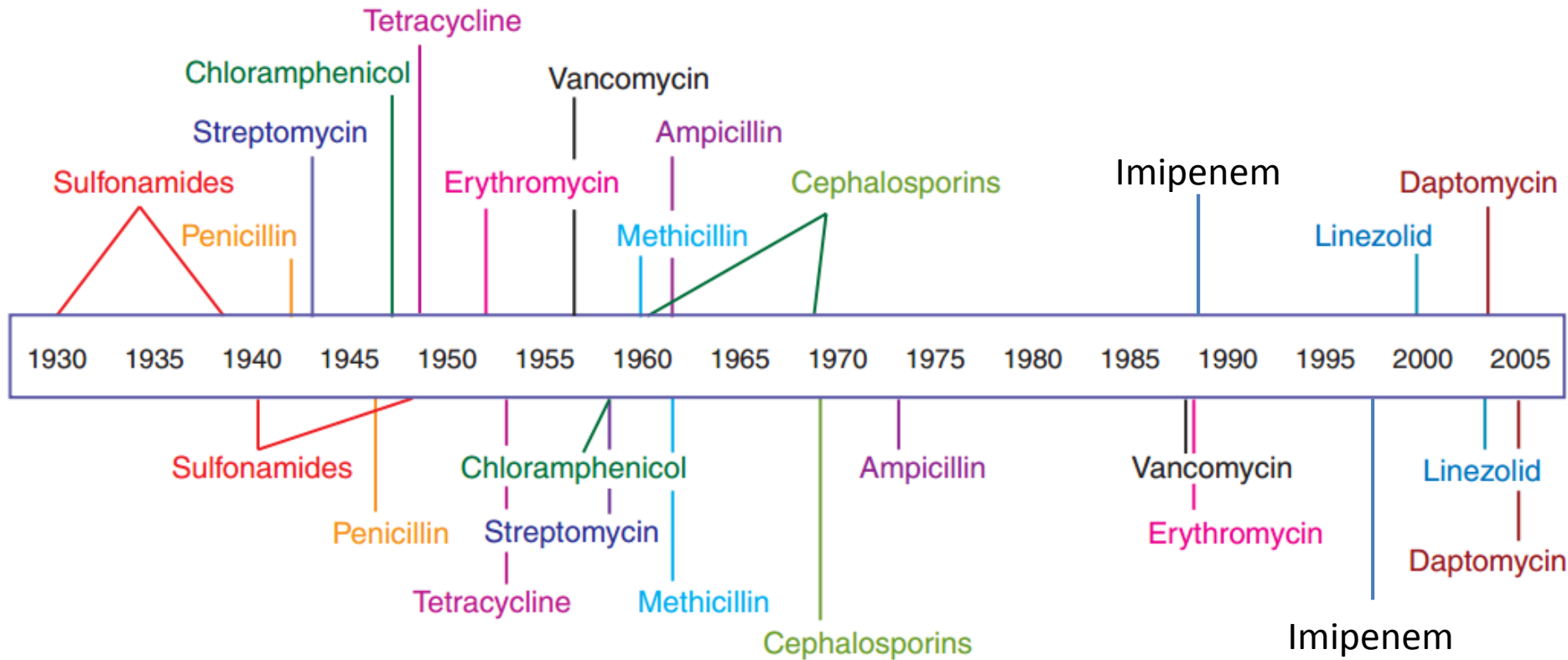
# Diverse Mechanisms of Resistance in Carbapenem-Resistant Enterobacteriaceae at Stanford Health Care

Niaz Banaei MD

Director of Clinical Microbiology Laboratory  
Associate Professor of Pathology and Medicine  
Stanford University School of Medicine

# Timeline of Microbial Arms Race

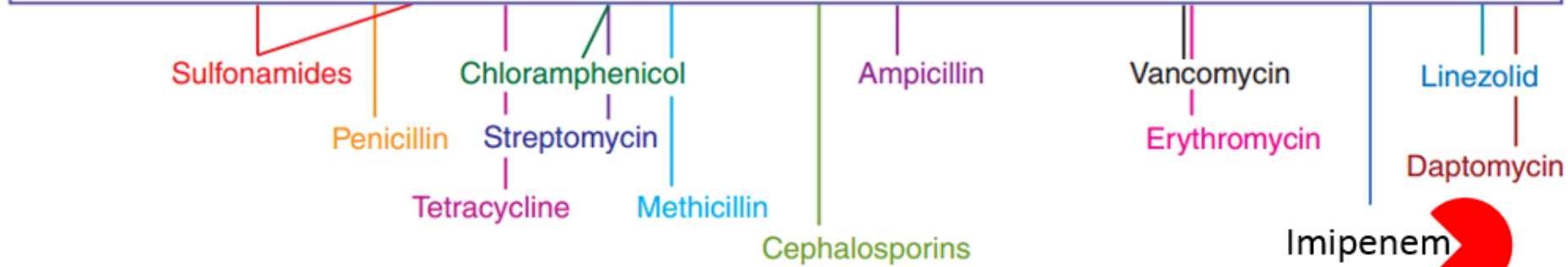
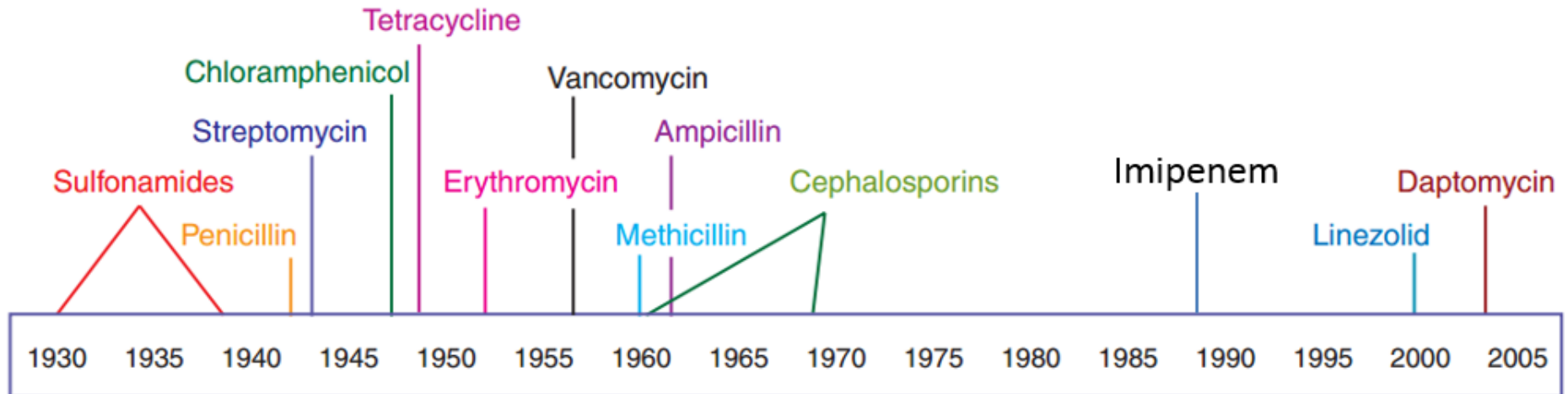
Antibiotic deployment



Antibiotic resistance observed

# Timeline of Microbial Arms Race

Antibiotic deployment

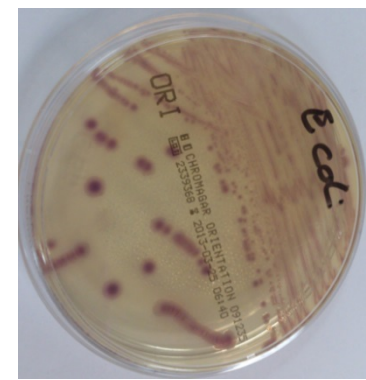


Antibiotic resistance observed



Carbapenem-Resistant  
Enterobacteriaceae (CRE)

Indian patient with pyelonephritis.  
 Visiting daughter in Silicon Valley.  
 Ureteral stent placed in India for kidney stones.  
 Urine culture: *E. coli* >100,000 cfu/mL



### Susceptibility

	MIC by Vitek 2	
Amikacin	>=64 ug/mL ...	RESISTANT
Amoxicillin/Clavulanic Acid	>=32 ug/mL ...	RESISTANT
Ampicillin	>=32 ug/mL ...	RESISTANT
Cefazolin	>=64 ug/mL ...	RESISTANT <sup>1</sup>
Cefepime	>=64 ug/mL ...	RESISTANT
Cefoxitin	>=64 ug/mL ...	RESISTANT
Ceftazidime	>=64 ug/mL ...	RESISTANT
Ceftolozane/Tazobactam		
Ceftriaxone	>=64 ug/mL ...	RESISTANT
Ciprofloxacin	>=4 ug/mL (...)	RESISTANT
Doxycycline		
Ertapenem	>=8 ug/mL (...)	RESISTANT
FOSFOMYCIN		
Gentamicin	>=16 ug/mL ...	RESISTANT
Imipenem		
Levofloxacin	>=8 ug/mL (...)	RESISTANT
Meropenem	8 ug/mL (MIC)	RESISTANT
Nitrofurantoin	64 ug/mL (MIC)	INTERMEDIATE
Piperacillin/Tazobactam	>=128 ug/mL...	RESISTANT
Polymixin B		
Tetracycline	>=16 ug/mL ...	RESISTANT
Tobramycin	>=16 ug/mL ...	RESISTANT
Trimethoprim/Sulfamethoxazole.	>=320 ug/mL...	RESISTANT

Indian patient with pyelonephritis.  
 Visiting daughter in Silicon Valley.  
 Ureteral stent placed in India for kidney stones.  
 Urine culture: *E. coli* >100,000 cfu/mL



### Susceptibility

	MIC by Vitek 2		Disk Diffusion	MIC by Etest
	Not Specified			MIC MCG/ML BY ET
Amikacin	>=64 ug/mL ...	RESISTANT		
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Ampicillin	>=32 ug/mL ...	RESISTANT		
Cefazolin	>=64 ug/mL ...	RESISTANT <sup>1</sup>		
Cefepime	>=64 ug/mL ...	RESISTANT		
Cefoxitin	>=64 ug/mL ...	RESISTANT		
Ceftazidime	>=64 ug/mL ...	RESISTANT		
Ceftolozane/Tazobactam				>256 ug/mL ... R
Ceftriaxone	>=64 ug/mL ...	RESISTANT		
Ciprofloxacin	>=4 ug/mL (...)	RESISTANT		
Doxycycline				>256 ug/mL ... R
Ertapenem	>=8 ug/mL (...)	RESISTANT	RESISTANT	
FOSFOMYCIN			SUSCEPTIBLE	
Gentamicin	>=16 ug/mL ...	RESISTANT		
Imipenem			RESISTANT	
Levofloxacin	>=8 ug/mL (...)	RESISTANT		
Meropenem	8 ug/mL (MIC)	RESISTANT	RESISTANT	
Nitrofurantoin	64 ug/mL (MIC)	INTERMEDIATE		
Piperacillin/Tazobactam	>=128 ug/mL...	RESISTANT		
Polymixin B				1 ug/mL (MIC)
Tetracycline	>=16 ug/mL ...	RESISTANT		
Tobramycin	>=16 ug/mL ...	RESISTANT		
Trimethoprim/Sulfamethoxazole.	>=320 ug/mL...	RESISTANT		

# Outline

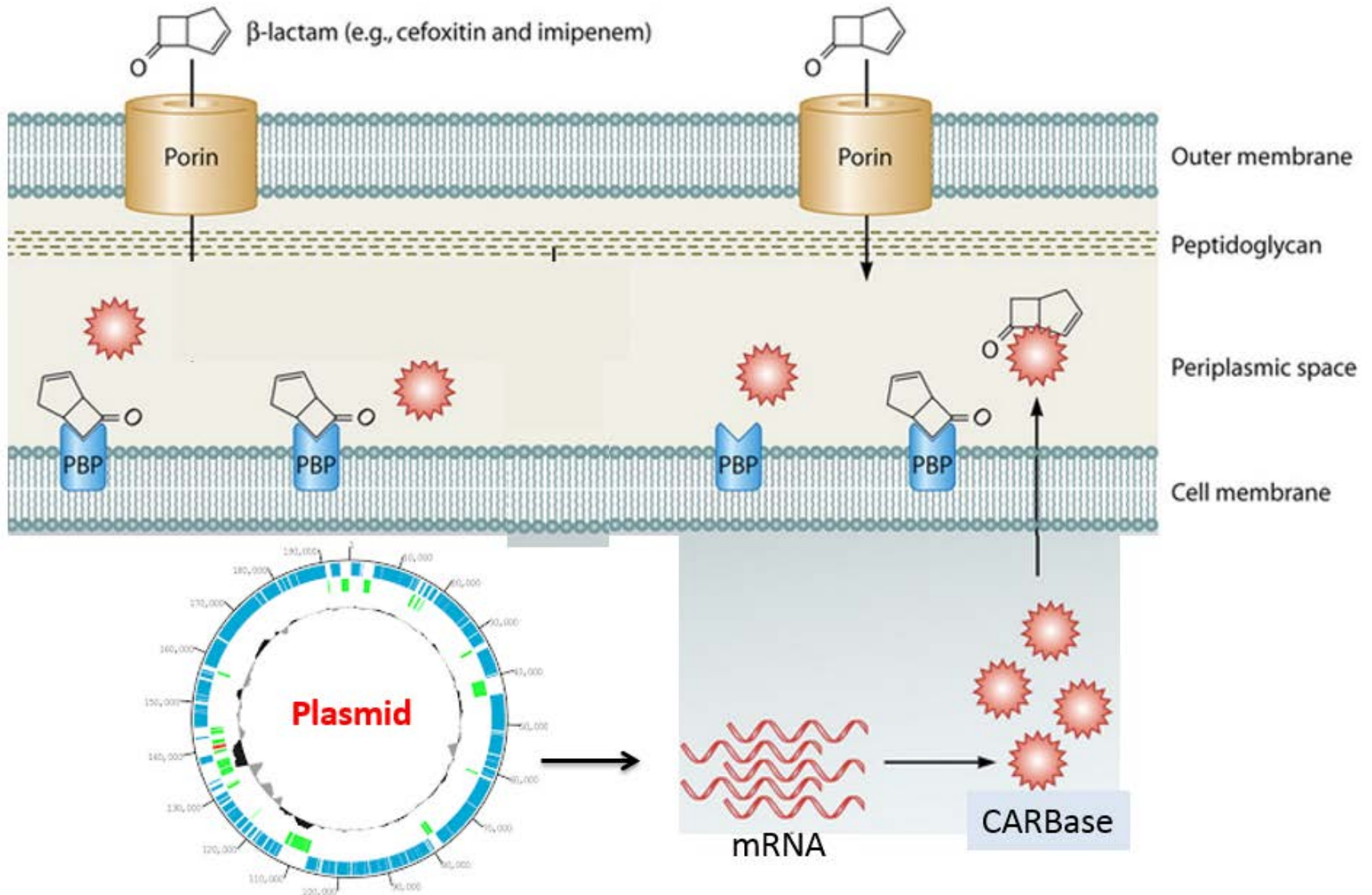
- Introduction to CRE
- Local Experience
  - Rate
  - Mechanism
  - In vitro susceptibility
  - Transmission
- Future challenges

# Carbapenem-Resistant Enterobacteriaceae (CRE)

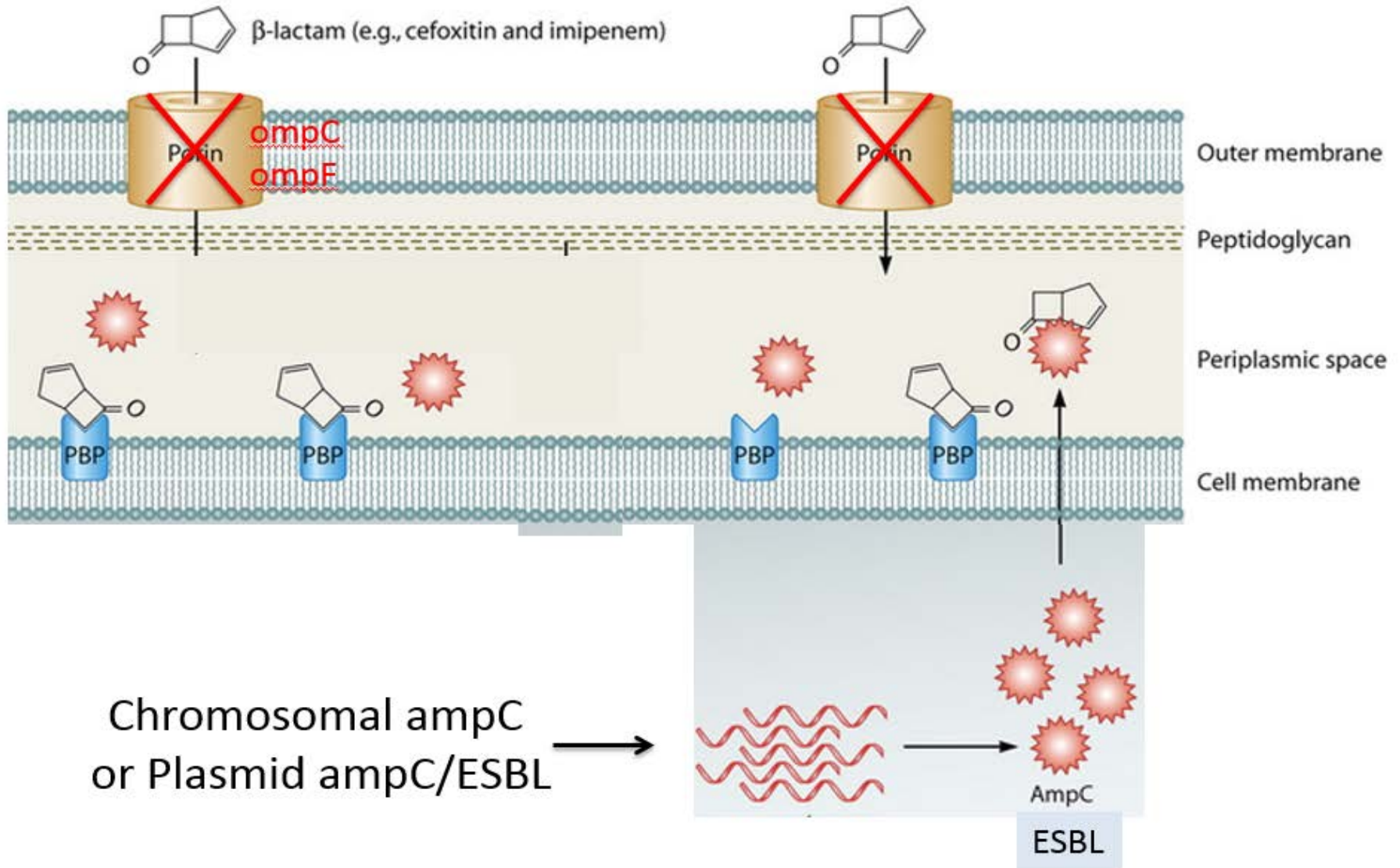
2015 CDC definition: Resistant to imipenem, meropenem, doripenem or ertapenem



# Mechanism of Carbapenem Resistance



# Mechanism of Carbapenem Resistance



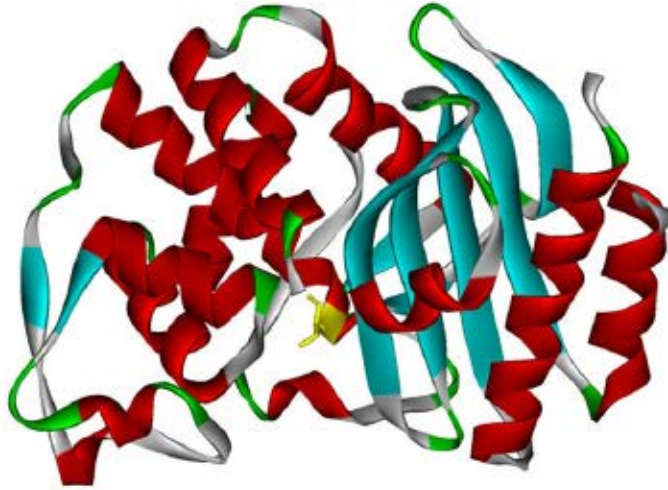
# Emergence of Carbapenemases

Enzyme	Class	Location	Year of Isolation	Country of origin
SME	A	Chromosome	1982	London, UK
IMI-1	A	Chromosome	1984	CA, USA
IMP	B	Plasmid	1991	Japan
VIM	B	Plasmid	1996	Verona, Italy
GES	A	Plasmid	2000	French Guiana
OXA-48-like	D	Plasmid	2001	Turkey
KPC	A	Plasmid	2001	NC, USA
GIM	B	Integron	2004	Germany
SIM	B	Integron	2005	Korea
CMY	C	Plasmid	2006	Seoul, Korea
IMI-2	B	Plasmid	2006	China
NDM	B	Plasmid	2008	London, UK

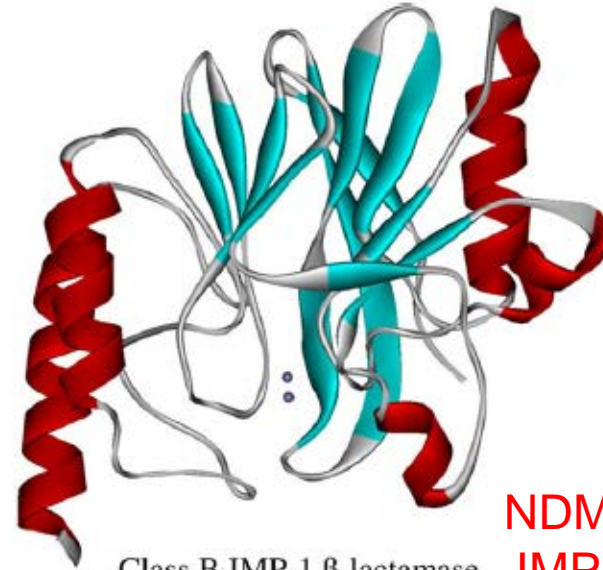
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OXA-48-like	D	Plasmid	2001	Turkey
KPC	A	Plasmid	2001	NC, USA
NDM	B	Plasmid	2008	India

# Beta-lactamases



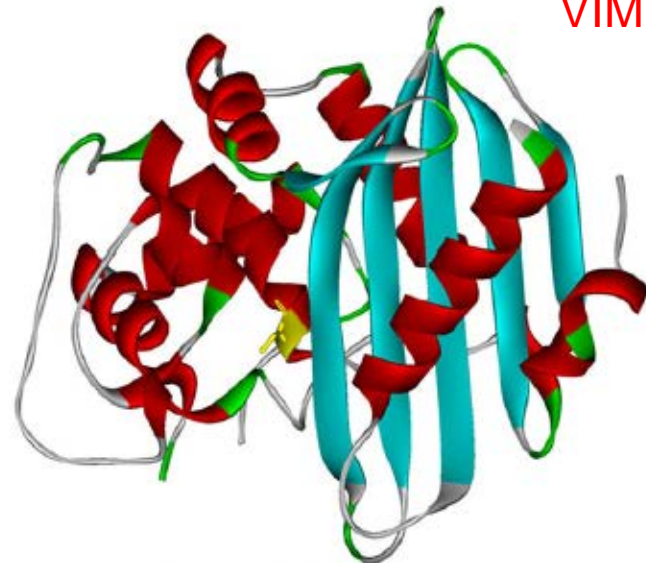
Class A SHV-1  $\beta$ -lactamase **KPC**



Class B IMP-1  $\beta$ -lactamase  
**NDM**  
**IMP**  
**VIM**

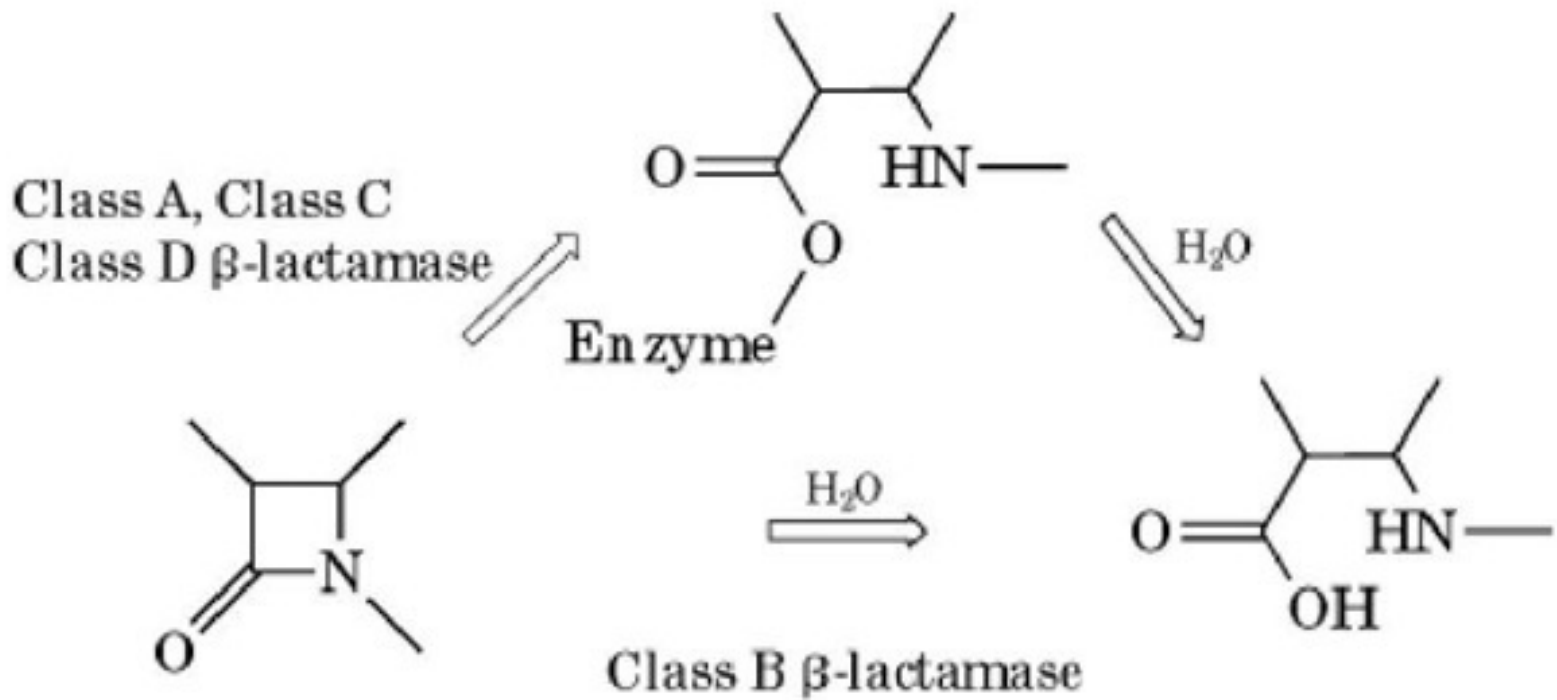


Class C *E.coli* AmpC  $\beta$ -lactamase

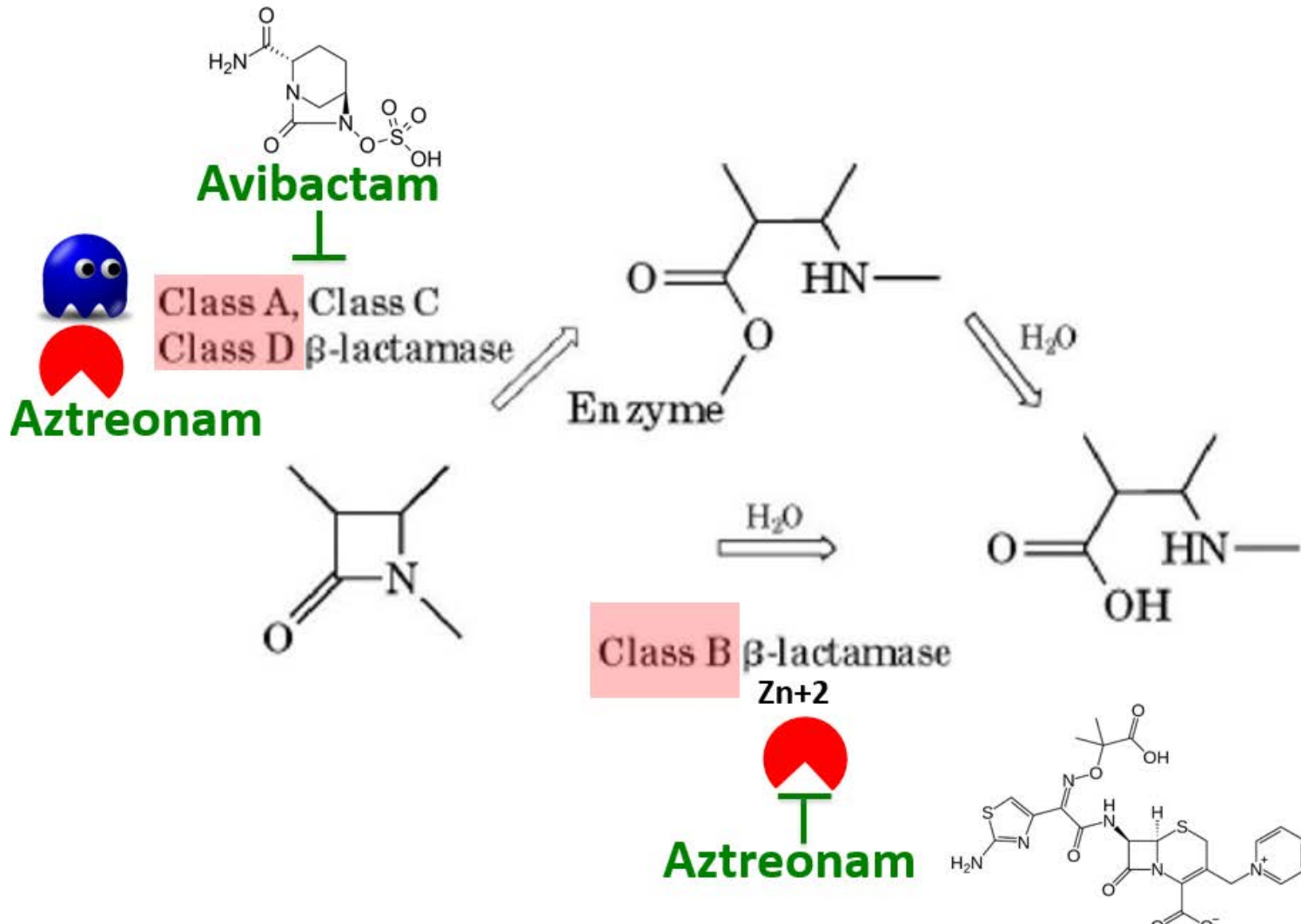


Class D OXA-1  $\beta$ -lactamase **OXA48**

# Beta-lactamase Mechanisms



# Beta-lactamase Molecular Class Predicts Susceptibility to New Inhibitors



# Outline

- Introduction to CRE
- Local Experience
  - Rate
  - Mechanism
  - In vitro susceptibility
  - Transmission
- Future challenges



# CRE rates at Stanford Health Care

Species	No. of CRE/CRE + non-CRE isolates (%)				
	2013	2014	2015	2016	2013-16
All species	11/5001 (0.2)	14/3550 (0.4)	18/4752 (0.4)	19/5968 (0.3)	62/19271 (0.3)

CRE definition based on pre-2015 CDC definition

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CRE definition based on pre-2015 CDC definition

## **Stanford CRE rate 4-13 fold lower than national rates**

- 4.2% per 2011 NHSN data
- 1.4% per 2010 Surveillance Network-USA data  
CDC MMWR 2013 PMID: 23466435

## **Stanford Rate lower than national rates**

- 0.73% per 2011-13 UCLA study  
Pollett et al JCM 2014

# Epidemiology of Carbapenem-Resistant Enterobacteriaceae in 7 US Communities, 2012-2013

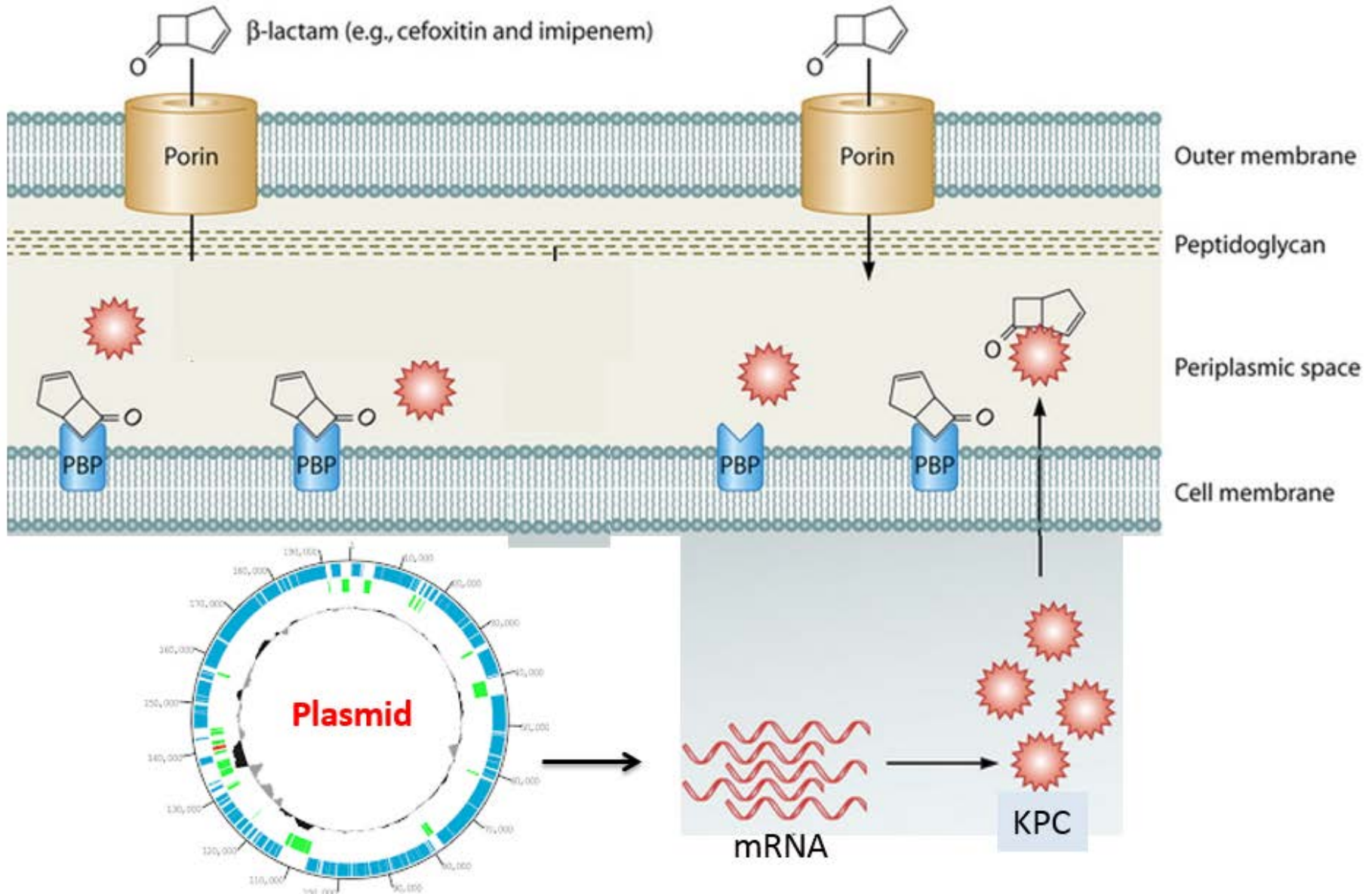
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Emerging Infections Program Site	Incident CRE Cases <sup>a</sup>				Standardized Incidence Ratio (95% CI) <sup>c</sup>
	No. of Cases		Crude Annual Incidence Rate/100 000 Population		
	2012 <sup>b</sup>	2013	2012 <sup>b</sup>	2013	
Colorado		27		1.05	0.53 (0.39-0.71)
Georgia	175	181	4.58	4.68	1.65 (1.20-2.25)
Maryland		92		4.80	1.44 (1.06-1.96)
Minnesota	31	40	1.82	2.32	0.94 (0.69-1.27)
New Mexico		6		0.89	0.41 (0.30-0.55)
New York		27		3.60	1.42 (1.05-1.92)
Oregon	6	14	0.35	0.82	0.28 (0.21-0.38)
Total	212	387	2.94	2.93	

# Outline

- Introduction to CRE
- Local Experience
  - Rate
  - Mechanism
  - In vitro susceptibility
  - Transmission
- Future challenges

# Mechanism of Carbapenem Resistance

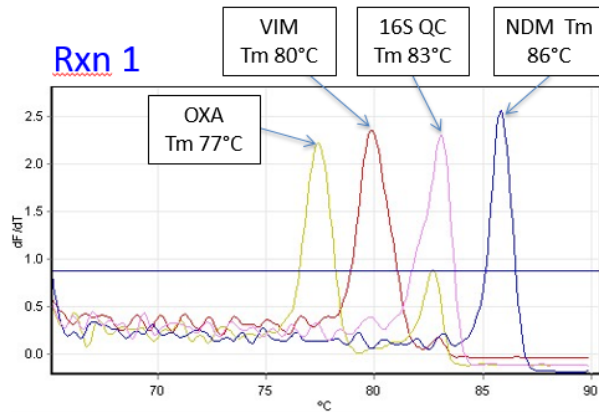


# Known Carbapenemases

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CMY	C	Plasmid	2006	Seoul, Korea
IMI-2	B	Plasmid	2006	China
NDM	B	Plasmid	2008	London, UK

# Genotypic Detection of Known Carbapenemases

## Stanford LDTs



### Carbases:

*bla*<sub>KPC</sub>  
*bla*<sub>NDM</sub>  
*bla*<sub>IMP</sub>  
*bla*<sub>VIM</sub>  
*bla*<sub>OXA-48 like</sub>  
*bla*<sub>SPM</sub>  
*bla*<sub>GES</sub>  
*bla*<sub>GIM</sub>  
*bla*<sub>SME</sub>  
*bla*<sub>SIM</sub>  
*bla*<sub>IMI</sub>  
*bla*<sub>NMC-A</sub>

### Carbases:

*bla*<sub>KPC</sub>  
*bla*<sub>NDM</sub>  
*bla*<sub>IMP</sub>  
*bla*<sub>VIM</sub>  
*bla*<sub>OXA-48 like</sub>  
*bla*<sub>SPM</sub>  
*bla*<sub>GES</sub>  
*bla*<sub>GIM</sub>  
*bla*<sub>OXA-23 like</sub>  
*bla*<sub>OXA-58 like</sub>

### ESBLs:

*bla*<sub>CTX-M-1 group</sub>  
*bla*<sub>CTX-M-1-like</sub>  
*bla*<sub>CTX-M-15-like</sub>  
*bla*<sub>CTX-M-32-like</sub>  
*bla*<sub>CTX-M-2 group</sub>  
*bla*<sub>CTX-M-9 group</sub>  
*bla*<sub>CTX-M-8, &-25 group</sub>  
*bla*<sub>TEM-types</sub>  
*bla*<sub>SHV-types</sub>  
*bla*<sub>VEB</sub>, *bla*<sub>PER</sub>  
*bla*<sub>BEL</sub>  
*bla*<sub>GES</sub>

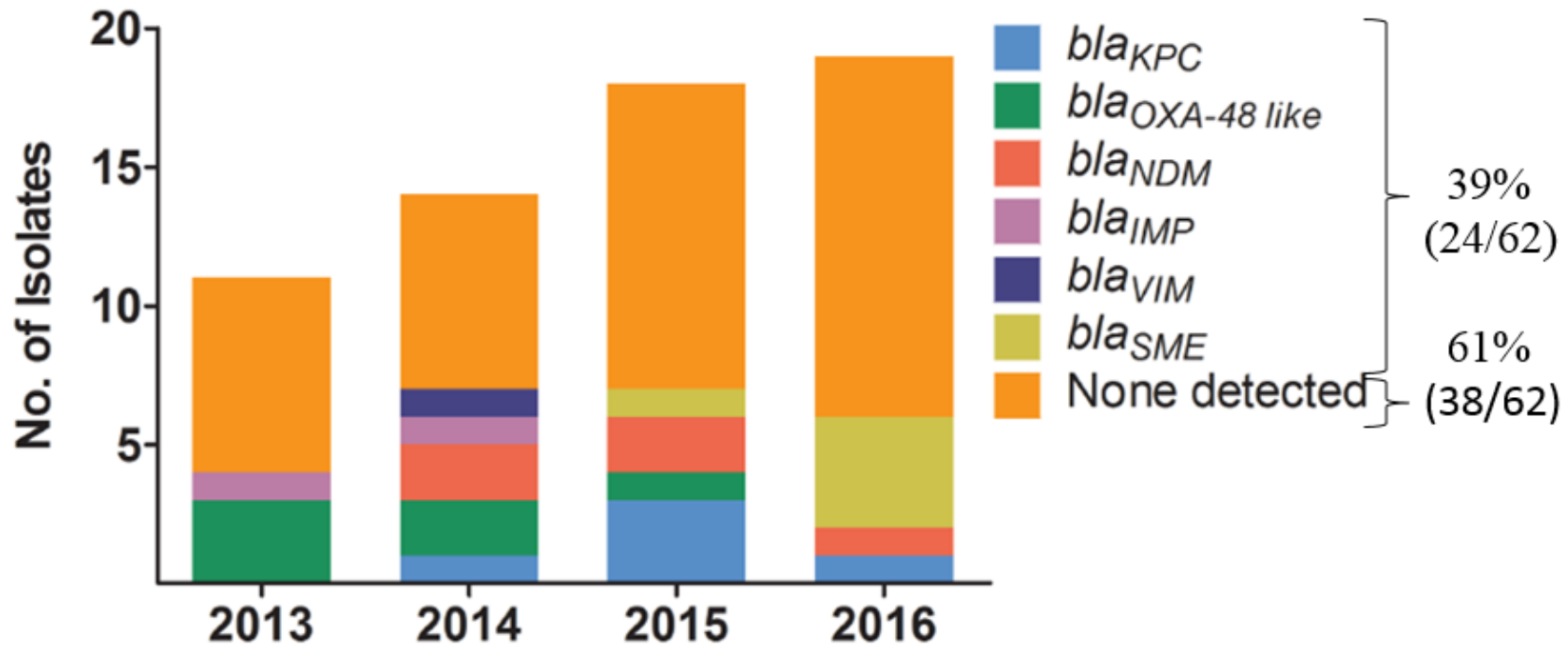
### pAmpCs:

*bla*<sub>CMY I/MOX</sub>  
*bla*<sub>ACC</sub>  
*bla*<sub>DHA</sub>  
*bla*<sub>ACT/MIR</sub>  
*bla*<sub>CMY II</sub>  
*bla*<sub>FOX</sub>

### Carbases:

*bla*<sub>KPC</sub>  
*bla*<sub>NDM</sub>  
*bla*<sub>IMP</sub>  
*bla*<sub>VIM</sub>  
*bla*<sub>OXA-48 like</sub>

# CRE Mechanisms at Stanford Health Care





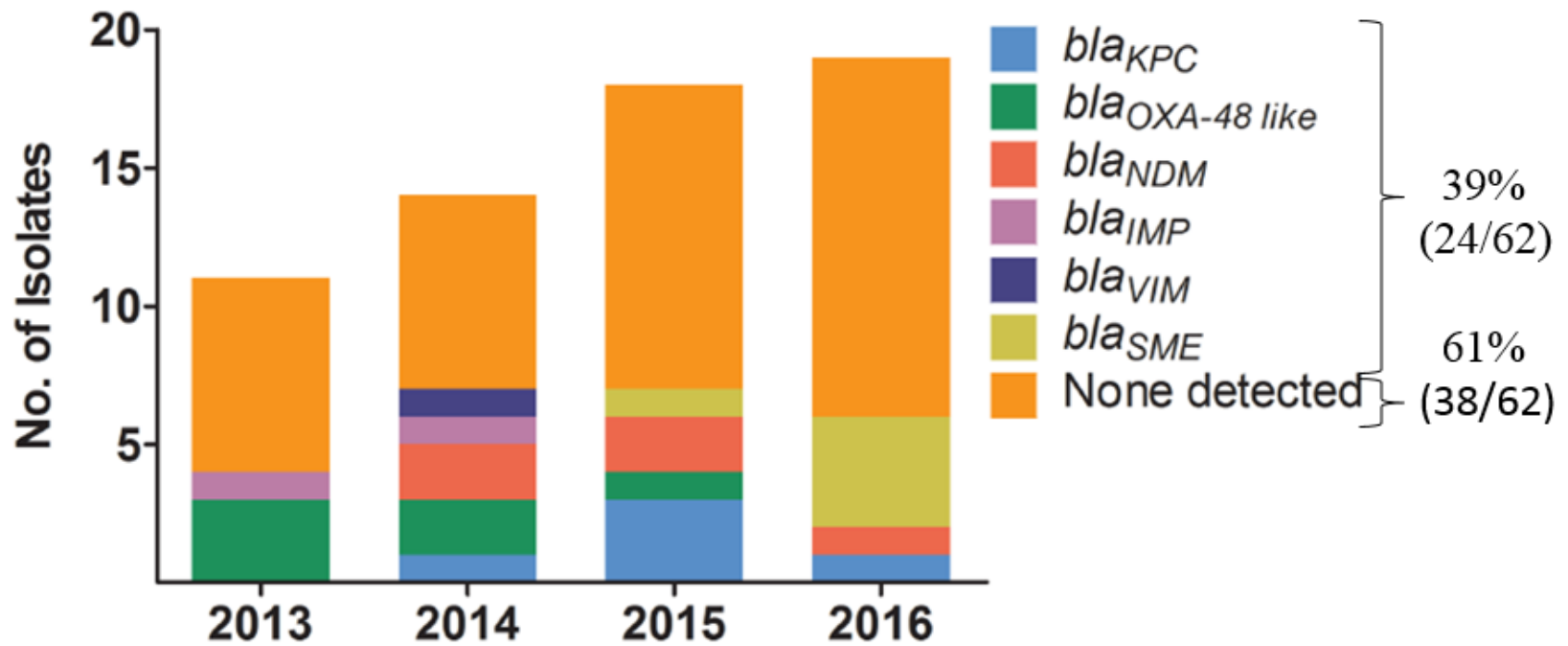
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Alice Y. Guh, MD, MPH; Sandra N. Bulens, MPH; Yi Mu, PhD; Jesse T. Jacob, MD; Jessica Reno, MPH; Janine Scott, MPH; Lucy E. Wilson, MD, ScM; Elisabeth Vaeth, MPH; Ruth Lynfield, MD; Kristin M. Shaw, MPH; Paula M. Snippes Vagnone, MT(ASCP); Wendy M. Bamberg, MD; Sarah J. Janelle, MPH; Ghinwa Dumyati, MD; Cathleen Concannon, MPH; Zintars Beldavs, MS; Margaret Cunningham, MPH; P. Maureen Cassidy, MPH; Erin C. Phipps, DVM, MPH; Nicole Kenslow, MPH; Tatiana Travis, BS; David Lonsway, MMS; J. Kamile Rasheed, PhD; Brandi M. Limbago, PhD; Alexander J. Kallen, MD, MPH

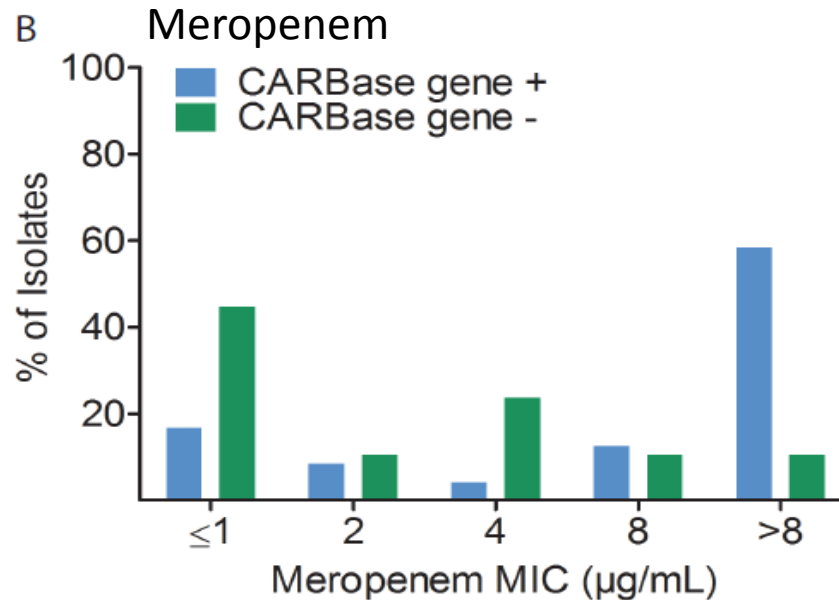
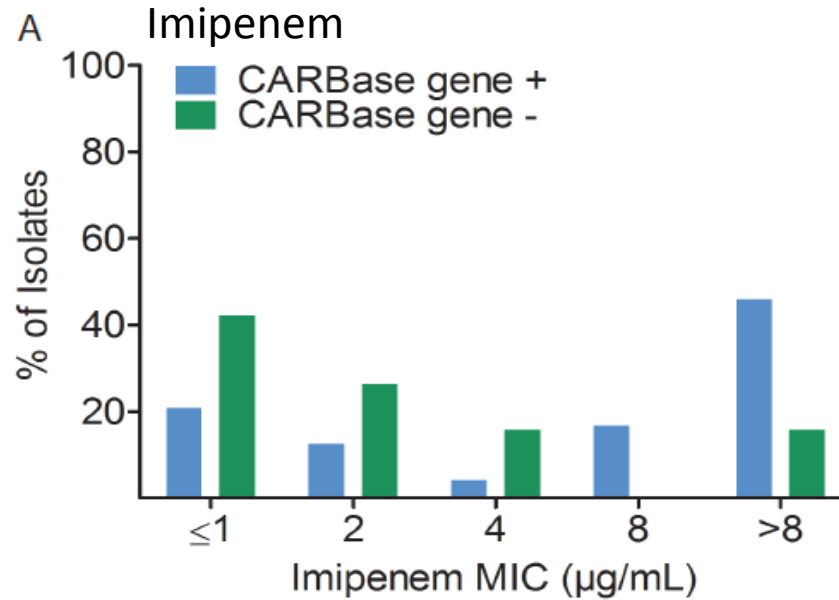
Emerging Infections Program Site	CRE Organism or Isolate, No. (%)						Isolates Submitted for Carbapenemase Testing	No. of Carbapenemase-Producing Isolates/Total No. of Isolates Submitted for Testing (%) <sup>a</sup>
	Total No.	<i>Enterobacter aerogenes</i>	<i>Enterobacter cloacae</i> Complex	<i>Escherichia coli</i>	<i>Klebsiella pneumoniae</i>	<i>Klebsiella oxytoca</i>		
Colorado <sup>b</sup>	27	7 (25.9)	10 (37.0)	3 (11.1)	7 (25.9)	0	16 (59.3)	5/16 (31.3)
Georgia	356	22 (6.2)	38 (10.7)	56 (15.7)	235 (66.0)	5 (1.4)	75 (21.1)	48/75 (64.0)
Maryland <sup>b</sup>	92	8 (8.7)	6 (6.5)	9 (9.8)	69 (75.0)	0	17 (18.5)	13/17 (76.5)
Minnesota	71	29 (40.8)	16 (22.5)	10 (14.1)	16 (22.5)	0	58 (81.7)	17/58 (29.3)
New Mexico <sup>b</sup>	6	2 (33.3)	0	3 (50.0)	1 (16.7)	0	<sup>c</sup>	<sup>c</sup>
New York <sup>b</sup>	27	3 (11.1)	2 (7.4)	5 (18.5)	17 (63.0)	0	9 (33.3)	5/9 (55.6)
Oregon	20	4 (20.0)	7 (35.0)	3 (15.0)	6 (30.0)	0	13 (65.0)	2/13 (15.4)
Total	599	75 (12.5)	79 (13.2)	89 (14.9)	351 (58.6)	5 (0.8)	188 (31.4)	90/188 (47.9)

100% (90/90)  
were KPC

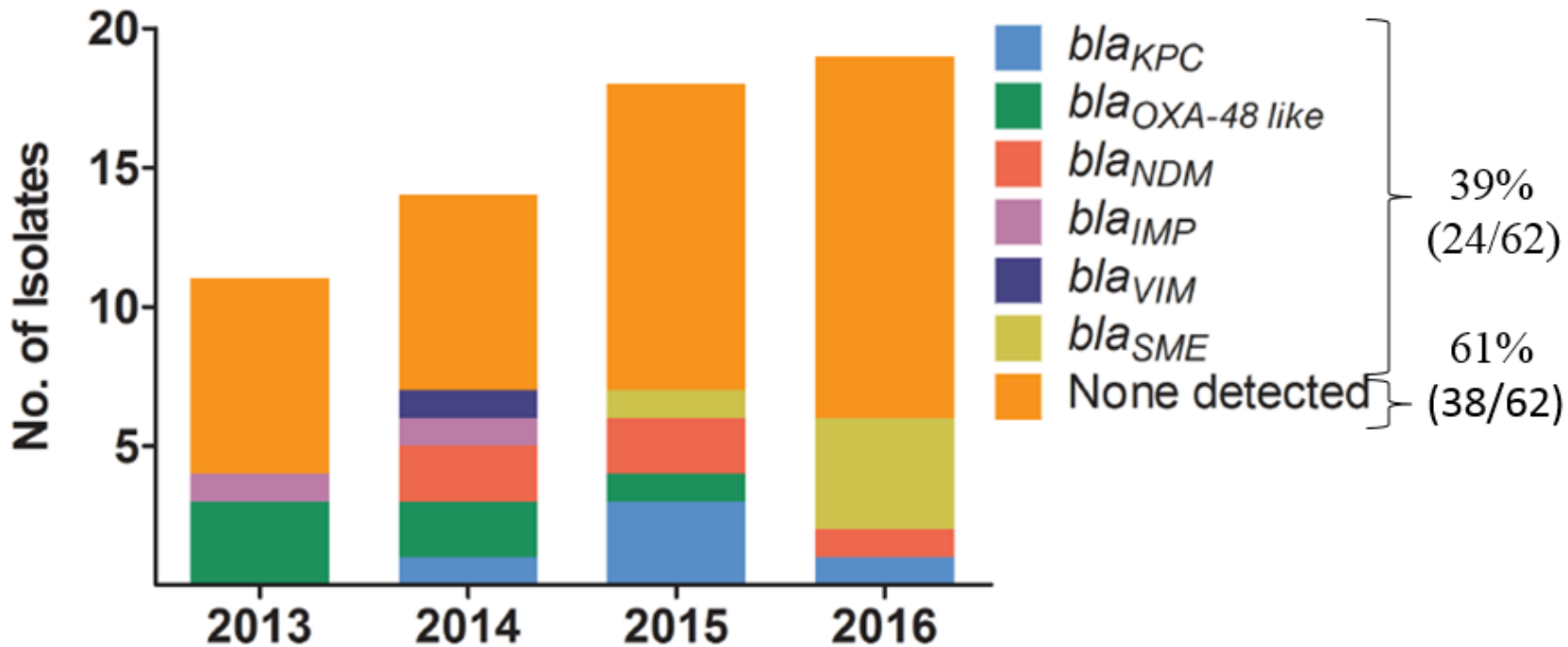
# CRE Mechanisms at Stanford Health Care



# Higher Carbapenem MIC in Carbapenemase+ CRE

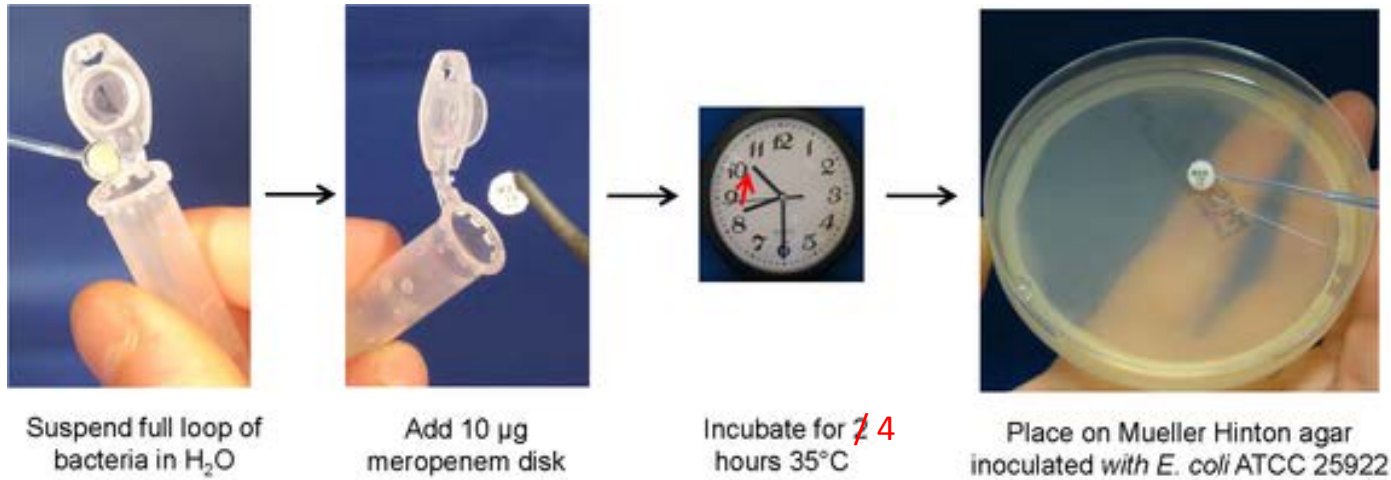


# CRE Mechanisms in Carbapenemase Gene-Negative Isolates at Stanford Health Care



“SPACE” organisms made up 63.2% of CRE isolates lacking a carbapenemase gene compared with 33.3% ( $p=0.04$ ) of isolates harboring a carbapenemase gene.

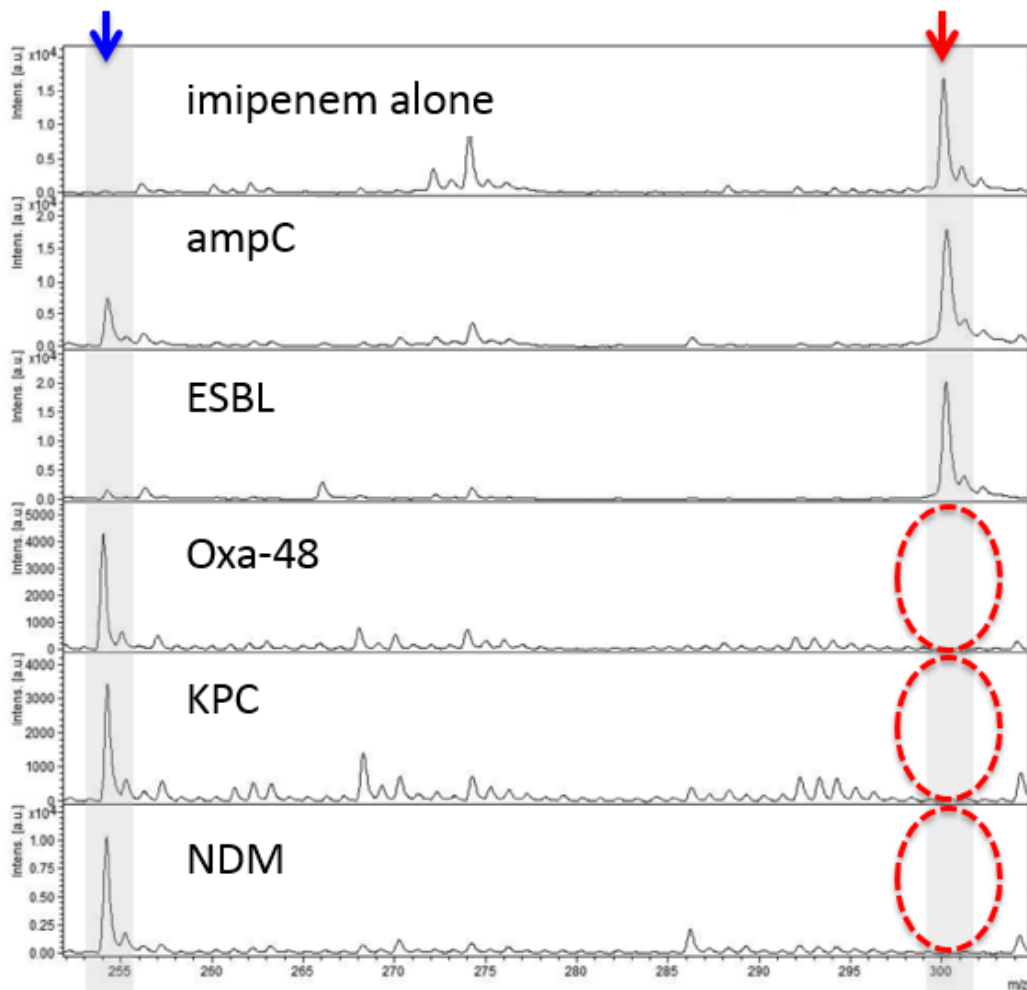
# Phenotypic Detection of Carbapenemases: Carbapenem Inactivation Method (CIM)



# Phenotypic Detection of Carbapenemases: MALDI-TOF Mas Spectrometry

metabolite (254 Da m/z)

imipenem: 300 Da m/z

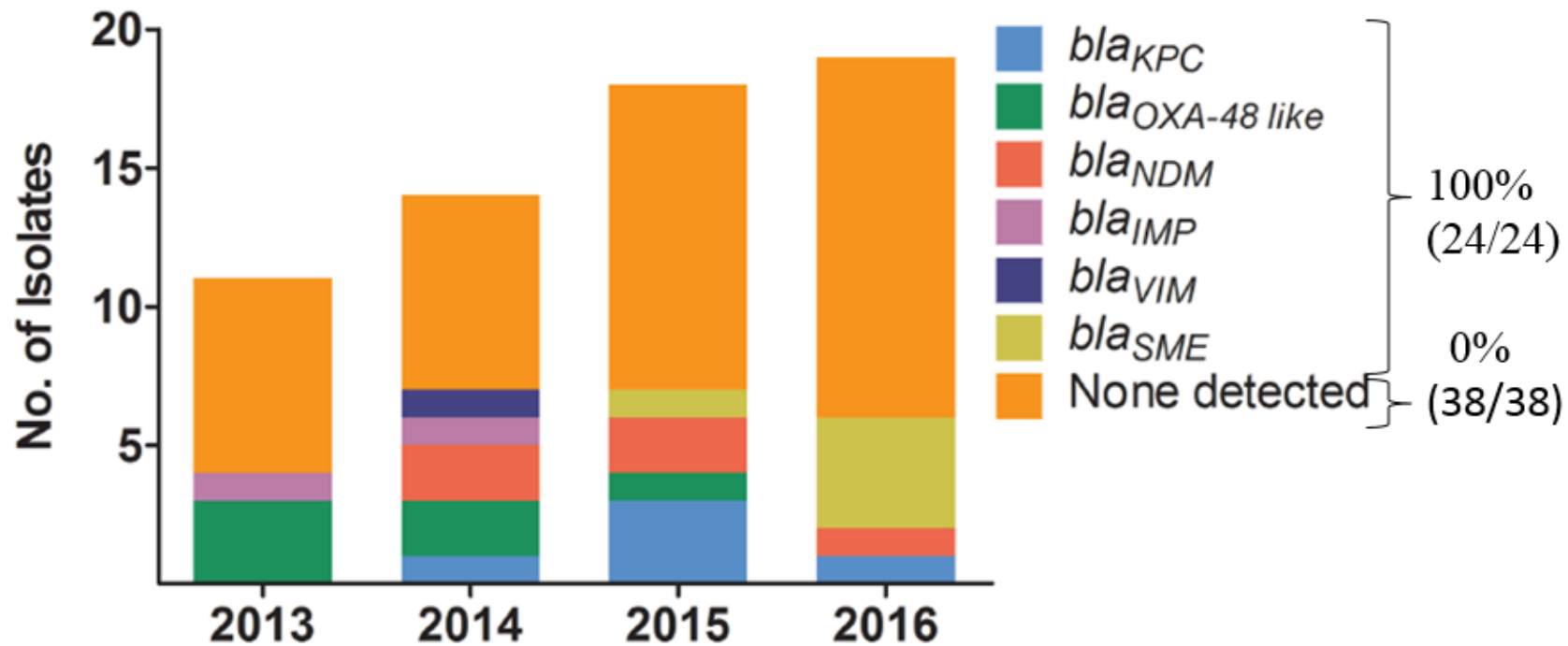


MALDI-TOF spectra of imipenem hydrolysis assays after a 20-min incubation at 37°C

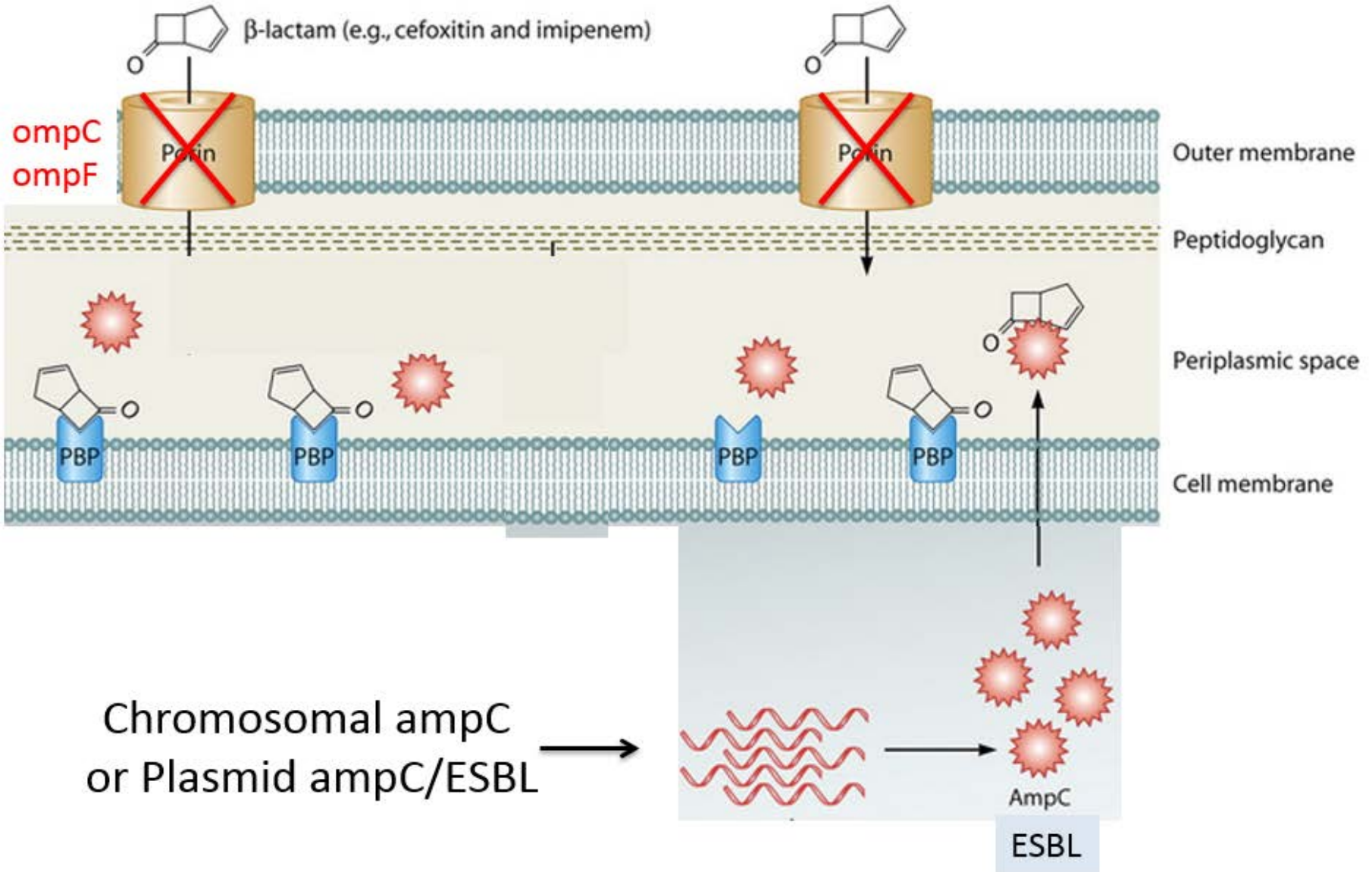
$$\frac{\text{metabolite}}{(\text{metabolite} + \text{imipenem})} \geq 0.82$$

Training set: 77 CPE & 146 non-CPE  
Sensitivity: 97.8% (25/25)  
Specificity: 97.8% (18/18)

# Carbapenemase Activity in CRE Isolates at Stanford Health Care

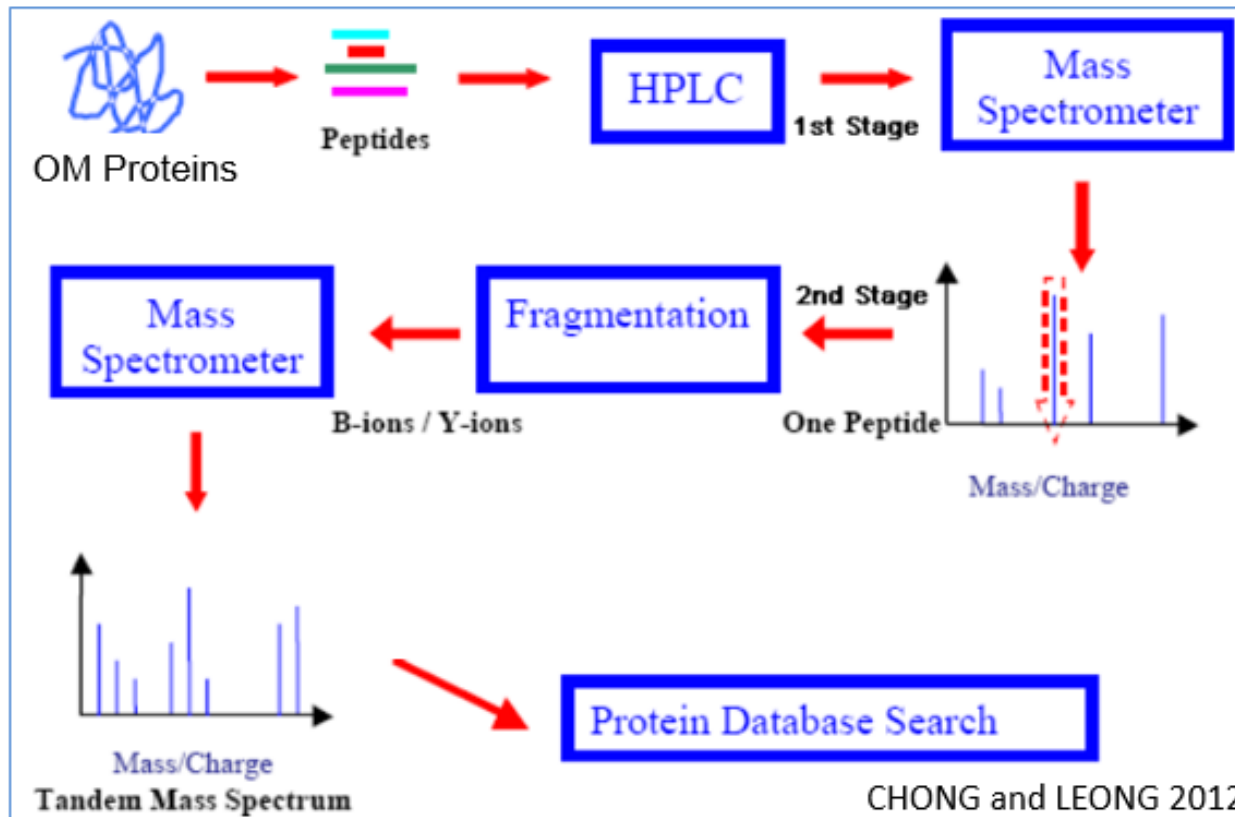


# Mechanism of Carbapenem Resistance

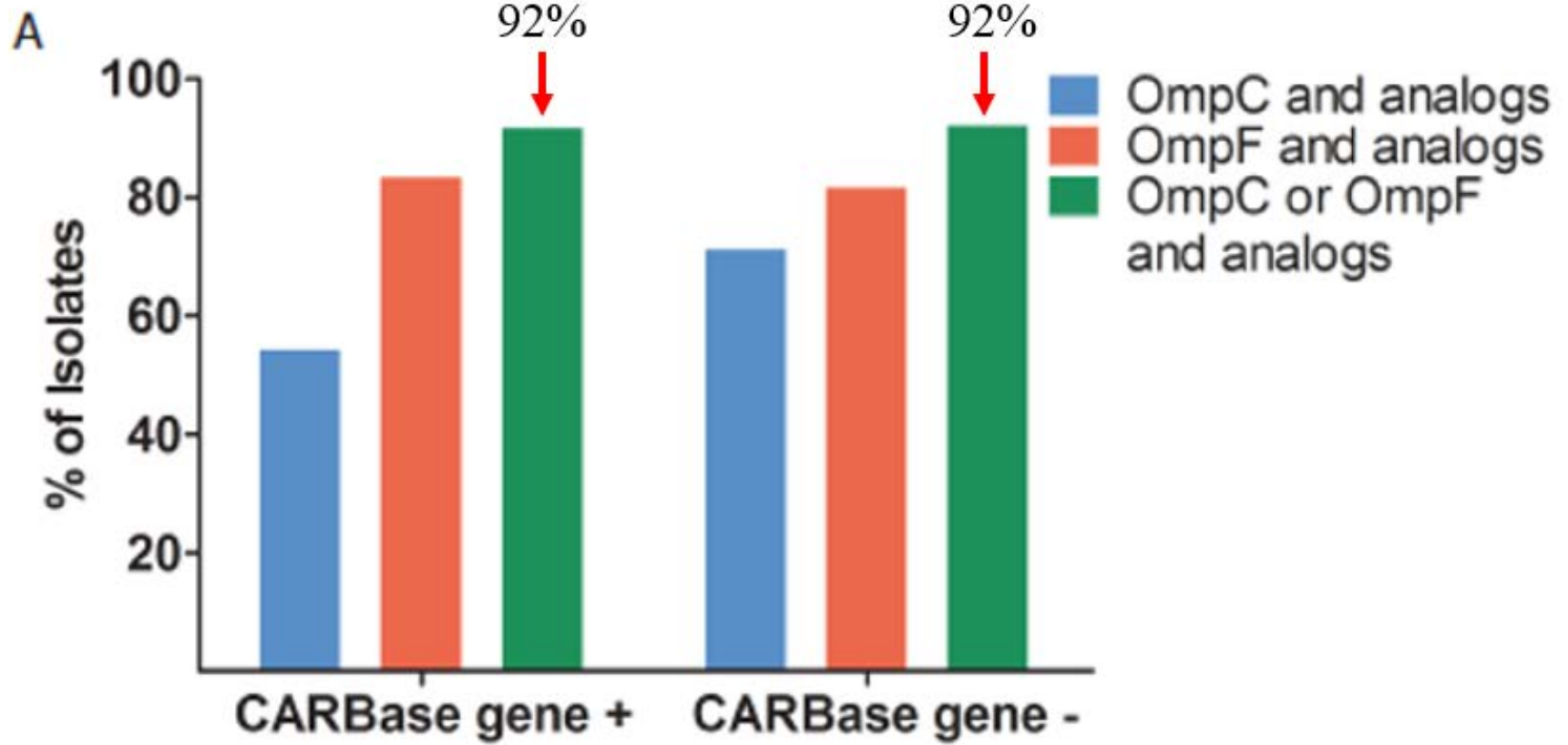




# Phenotypic Detection of OmpC and OmpF and their Analogs



# Porin Levels Down $\geq 2$ Fold in Carbapenemase- Isolates

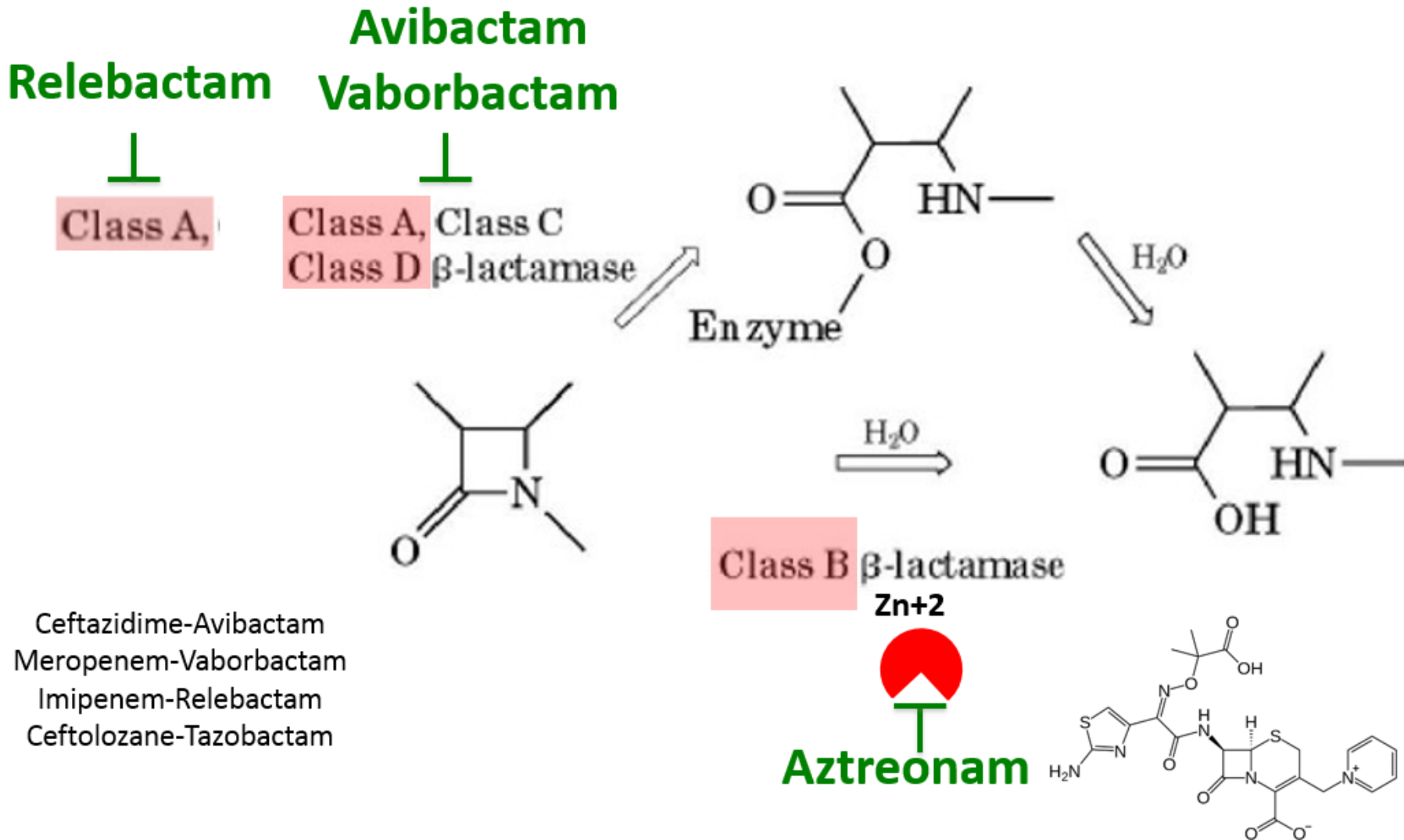


# Outline

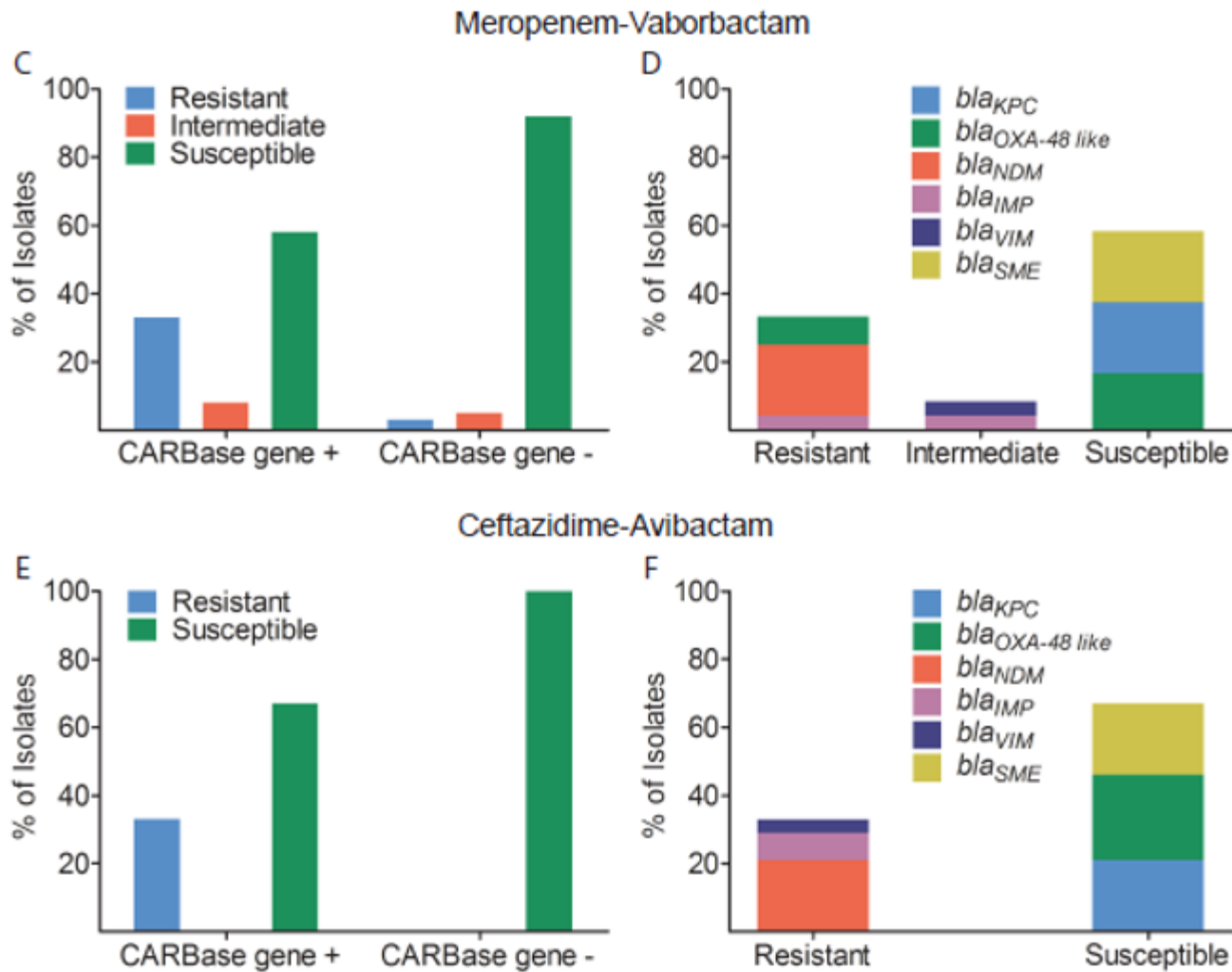
- Introduction to CRE
- Local Experience
  - Rate
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# Beta-lactamase Molecular Class

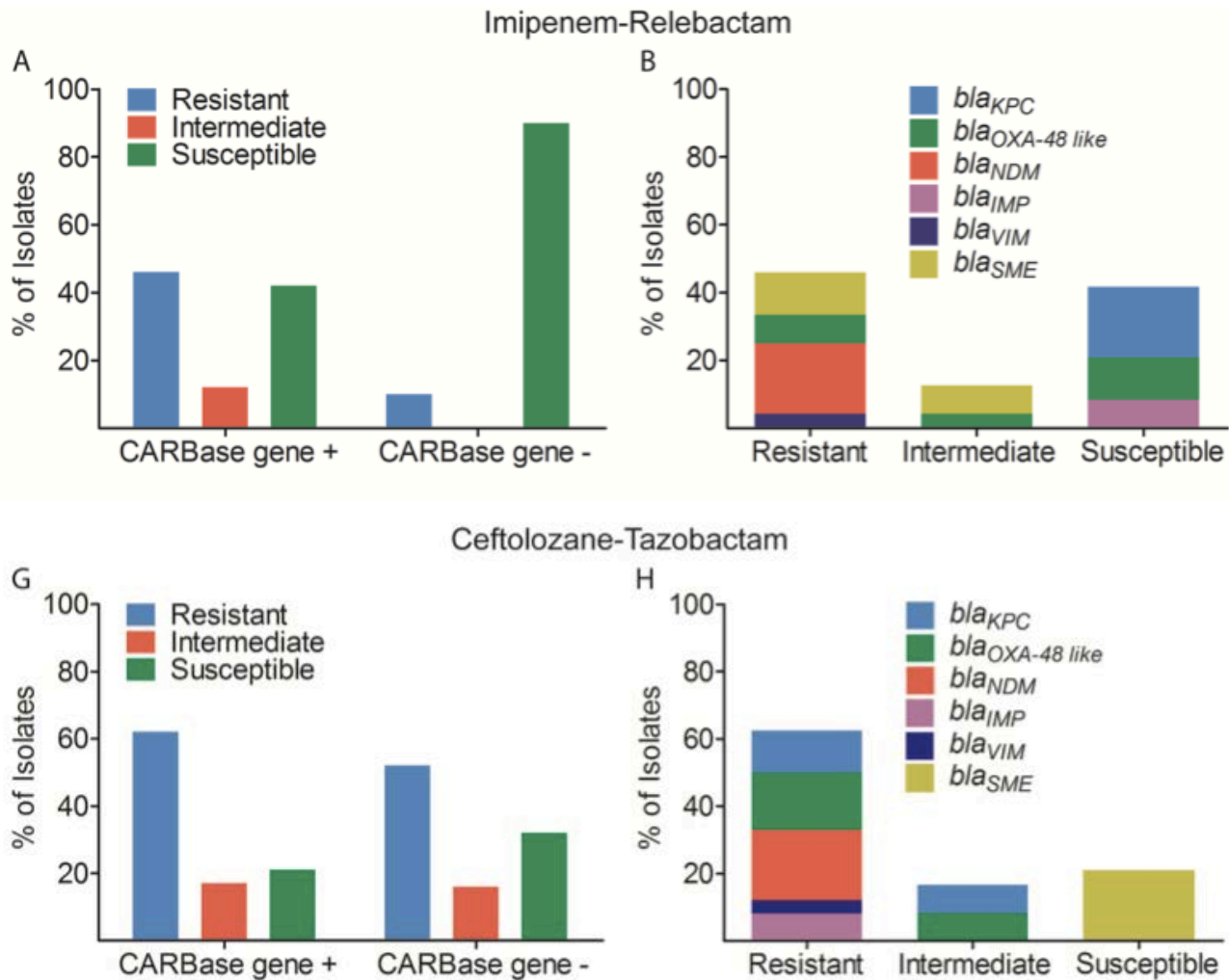
## Predicts Susceptibility to New Inhibitors



# CRE Susceptibility to Newer $\beta$ -lactamase Inhibitor Combinations as Predicted

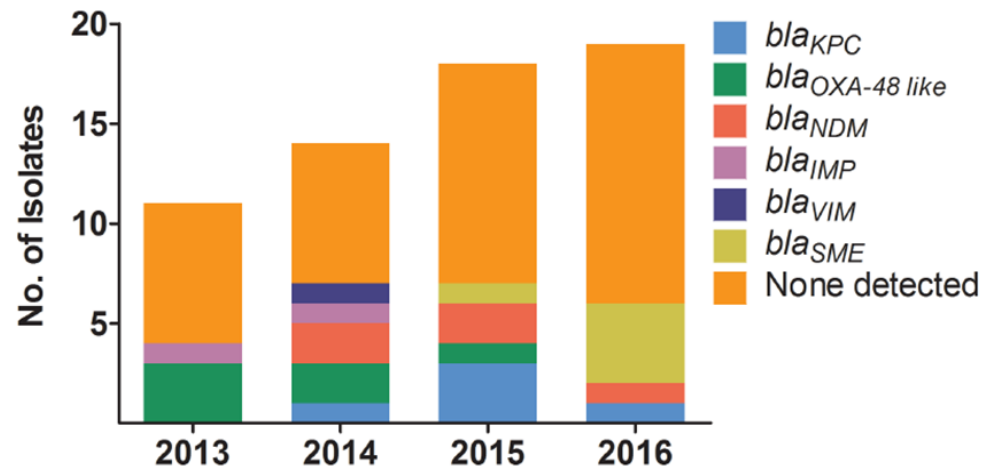


# CRE Susceptibility to Newer $\beta$ -lactamase Inhibitor Combinations as Predicted



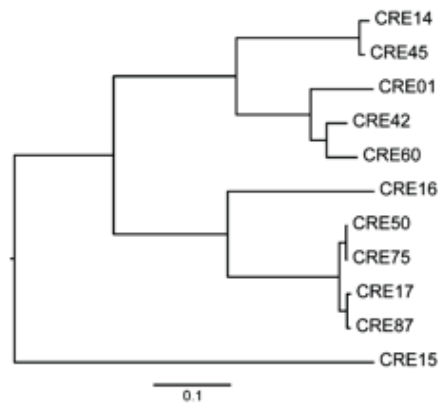
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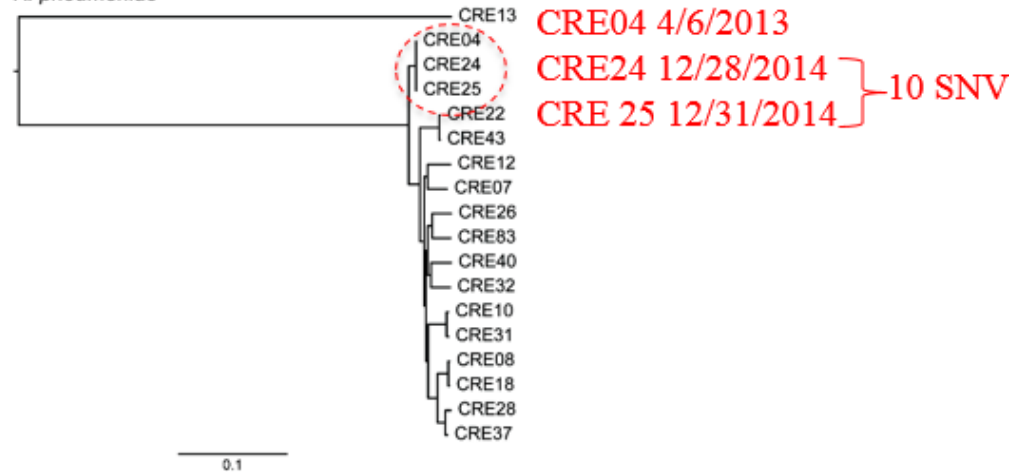


# Phylogenetic Tree for CRE Isolates Based on Whole Genome Sequencing

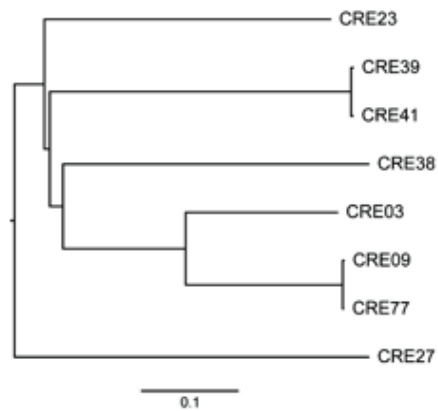
*E. coli*



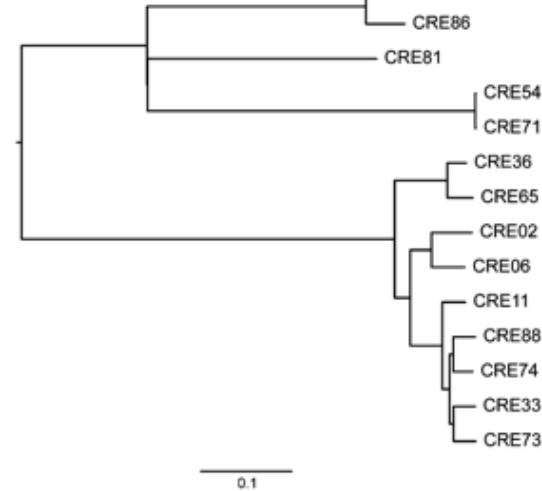
*K. pneumoniae*



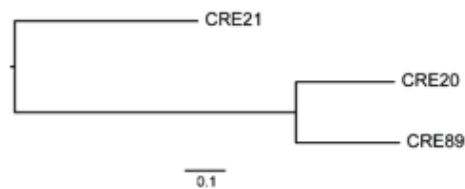
*E. aerogenes*



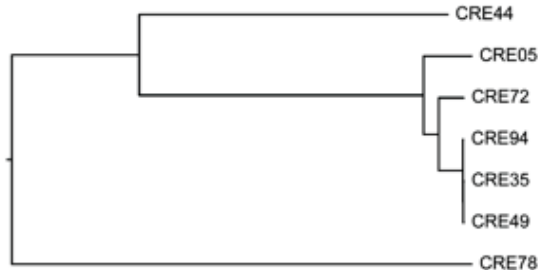
*E. cloacae*



*C. freundii*



*S. marcescens*





# Summary

- Low CRE rate (0.3%) in our setting
- Comprehensive phenotypic and genotypic characterization identified sporadic occurrence of plasmid-encoded CP-CRE
- Not dominated by blaKPC
- Predictable susceptibility to newer  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations based on the mechanism of resistance
- On-demand nucleic acid testing was sufficient for detection of CP-CRE

# 61 y/o liver and kidney transplant

## Respiratory and urine cultures

	4/1/2018 1045	4/18/2018 1330	4/22/2018 1037	4/22/2018 1055	4/28/2018 1314	5/11/2018 2311	5/13/2018 2238	5/13/2018 2250	5/14/2018 0851	5/14/2018 1214	5/19/2018 1825	6/5/2018 1422	6/5/2018 1630	6/8/2018 1800	6/13/2018 1805
CULTURE AND DIRECT...															
BLOOD CULTURE (AER...															
BLOOD CULTURE (2 A...															
LEGIONELLA CULTURE															
RESPIRATORY CULTUR...		c !				!			!				!		
URINE CULTURE										!		!		c !	!

### Enterobacter cloacae complex 4/18/18

#### Susceptibility

	Enterobacter cloacae complex		MIC	RESISTANT	NUCLEIC ACID TEST
	MIC MCG/ML				
Amoxicillin/Clavulanic Acid			>16 ug/mL	RESISTANT	
Ampicillin			>16 ug/mL	RESISTANT	
Ampicillin/Sulbactam			>16 ug/mL	RESISTANT	
Aztreonam.			>16 ug/mL	RESISTANT	
Cefazolin			>16 ug/mL	RESISTANT	
Cefepime			>16 ug/mL	RESISTANT	
Cefoxitin			>16 ug/mL	RESISTANT	
Ceftazidime			16 ug/mL	RESISTANT	
Ceftazidime/avibactam	1.0 ug/mL	SUSCEPTIBLE			
Ceftriaxone			>32 ug/mL	RESISTANT	
Cefuroxime (IV)			>16 ug/mL	RESISTANT	
Ciprofloxacin			>2 ug/mL	RESISTANT	
Ertapenem			>4 ug/mL	RESISTANT	
Gentamicin			<=1 ug/mL	SUSCEPTIBLE	
Imipenem			8 ug/mL	RESISTANT	
IMP PCR					NEGATIVE
KPC PCR					POSITIVE
Levofloxacin			4 ug/mL	INTERMEDIATE	
Meropenem			>8 ug/mL	RESISTANT	
Moxifloxacin			>4 ug/mL	RESISTANT	
NDM PCR					NEGATIVE
OXA48-LIKE PCR					NEGATIVE
Piperacillin/Tazobactam			>64 ug/mL	RESISTANT	
Tetracycline			>8 ug/mL	RESISTANT	
Tigecycline			4 ug/mL	INTERMEDIATE	
Trimethoprim/Sulfamethoxazole.			<=0.5 ug/mL	SUSCEPTIBLE	
VIM PCR					NEGATIVE

### Enterobacter cloacae complex 6/13/18

#### Susceptibility

	Enterobacter cloacae complex		MIC	RESISTANT	INTERMEDIATE
	MIC MCG/ML				
Amoxicillin/Clavulanic Acid			>=32 ug/mL	RESISTANT	
Cefazolin			>=64 ug/mL	RESISTANT <sup>1</sup>	
Cefoxitin			>=64 ug/mL	RESISTANT	
Ceftazidime			>=64 ug/mL	RESISTANT	
Ceftazidime/avibactam	32 ug/mL	RESISTANT			
Ceftriaxone			>=64 ug/mL	RESISTANT	
Ciprofloxacin			2 ug/mL	INTERMEDIATE	
Doxycycline	8 ug/mL	INTERMEDIATE			
Ertapenem			>=8 ug/mL	RESISTANT	
Gentamicin			<=1 ug/mL	SUSCEPTIBLE	
Levofloxacin			4 ug/mL	INTERMEDIATE	
Meropenem			>=16 ug/mL	RESISTANT	
Nitrofurantoin			256 ug/mL	RESISTANT	
Piperacillin/Tazobactam			>=128 ug/mL	RESISTANT	
Tetracycline			>=16 ug/mL	RESISTANT	
Trimethoprim/Sulfamethoxazole.			160 ug/mL	RESISTANT	

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